

Discussions on I -Ulrich Modules

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Overview

- Definition of I -Ulrich modules for some ideal I . (It is the generalization of the notion of an Ulrich module which is and has been an object of high interest for quite some time.)
- Basic Properties of I -Ulrich module. (In particular, we shall establish the existence of a lattice like structure among I -Ulrich ideals.)
- Talk about the connection to the category of Reflexive modules.

All of the results in this talk are available at [On Reflexive and \$I\$ -Ulrich modules over curve singularities](#) (mainly, Sec 4).

Motivation

A category \mathcal{C} is said to be *of finite type* if there are only finitely many indecomposable objects upto *isomorphism*.

Two categories of interest for study of finite type:

- $\text{CM}(R)$: The category of maximal Cohen-Macaulay modules.
- $\text{Ref}(R)$: The category of reflexive modules.

Over a commutative ring R , recall that a module M is called reflexive if the natural map $M \rightarrow M^{**}$ is an isomorphism, where M^* denotes $\text{Hom}_R(M, R)$.

It is well known that understanding the ‘one dimensional (local) case’ is key to understanding reflexivity in general and also ‘ $\text{Ref}(R) \subset \text{CM}(R)$ ’.

How abundant are these modules?

Motivation Continued

We found that the equality $xM = IM$ for certain modules $M \in \text{CM}(R)$ appears in many situations related to our investigation where I is a height one ideal with principal reduction x , in a one-dimensional local Cohen-Macaulay ring.

For instance, we establish the following: Suppose R is one-dimensional local Cohen Macaulay with canonical ideal ω_R whose principal reduction is x .

$$\text{If } \omega_R M = xM, \text{ then } M \in \text{Ref}(R)!$$

Thus these modules form a category critical to the abundance of reflexive modules.

So, we make a more general study of such modules with respect to any regular (i.e. height 1) ideal I and we shall see that the category of such modules has nice properties.

Throughout this talk, here's the hypothesis.

Hypothesis

Let (R, \mathfrak{m}, k) be a one-dimensional Cohen-Macaulay local ring with infinite residue field k and total ring of fractions K . Let \bar{R} denote the integral closure of R . All modules M considered are finitely generated.

Thus all regular ideals have a principal reduction.

Definition

I -Ulrich Modules

Let I be a height 1 ideal. We say that $M \in \text{CM}(R)$ is I -Ulrich if $e_I(M) = \ell(M/IM)$, where $e_I(M)$: Hilbert Samuel multiplicity of M with respect to I and $\ell(\cdot)$ denotes length.

Let $\text{Ul}_I(R)$ denote the category of I -Ulrich modules.

- Note that this definition is very much a straight generalization of an Ulrich module:

Any Ulrich module (in the popular literature) is simply an \mathfrak{m} -Ulrich module (in the above sense).

- Note that if $M \cong N$ in $\text{CM}(R)$, then the same isomorphism takes IM to IN , so $\ell(M/IM) = \ell(N/IN)$ for any ideal I and so I -Ulrich condition is preserved under isomorphism i.e. $\text{Ul}_I(R)$ makes sense!

Critical Example

Example

Let $M \in \text{CM}(R)$. By definition, $\ell(I^n M/I^{n+1}M) = e_I(M)$ for $n \gg 0$. Also, $e_I(M) = e_I(I^n M)$ for all n . It follows that $I^n M$ is I -Ulrich for $n \gg 0$.

Thus, these modules are abundant!

In fact, high enough powers of every ideal is Ulrich with respect to itself.

$B(I), b(I)$ Blowup of I and its Conductor

Let $B(I)$ denote the *blow-up of I* , namely the ring

$$\bigcup_{n \geq 0} (I^n :_K I^n).$$

Let $b(I) := R :_K B(I)$, the *conductor ideal of $B(I)$ to R* (the largest common ideal of R and $B(I)$.)

- $B(I) \subset \bar{R}$.
- $B(I) = B(I^n)$ for all $n \geq 1$.
- If x is a principal reduction of I , then it is well-known that

$$B(I) = R \left[\frac{I}{x} \right].$$

Theorem (Main Properties)

Let R be a one-dimensional Cohen-Macaulay local ring and I is regular. Suppose that $x \in I$ is a principal reduction and $M \in \text{CM}(R)$. TFAE:

- ① M is I -Ulrich.
- ② $IM = xM$.
- ③ $IM \subseteq xM$.
- ④ $IM \cong M$.
- ⑤ $M \in \text{CM}(B(I))$.
- ⑥ M is I^n -Ulrich for all $n \geq 1$.
- ⑦ M is I^n -Ulrich for infinitely many n .
- ⑧ M is I^n -Ulrich for some $n \geq 1$.

PROOF: As x is a reduction of I and $M \in \text{CM}(R)$, $\ell(M/xM) = e_I(M)$. So (1) is equivalent to $\ell(M/xM) = \ell(M/IM)$, or $IM = xM$. Hence (1) \implies (2). (2) \iff (3) is clear. (2) \implies (4) is clear. Assume (4), then $M \cong I^n M$ for $n \gg 0$, so M is I -Ulrich by the previous example. Thus, (4) \implies (1). In fact, we just showed that (1) \iff (2) \iff (3) \iff (4).

- ① M is I -Ulrich.
- ② $IM = xM$.
- ③ $IM \subseteq xM$.
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- ⑤ $M \in \text{CM}(B(I))$.
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PROOF CONTD: (3) is equivalent to $\frac{I}{x}M \subseteq M$. In other words (3) $\implies M \in \text{CM}(B(I))$, since $R[I/x] = B(I)$. This also shows that (5) \implies (3). Since $B(I) = B(I^n)$ for any $n \geq 1$, we have (5) \implies (6). Clearly (6) \implies (7) \implies (8). Assume (8). We have $\ell(M/I^n M) = e_{I^n}(M) = ne_I(M)$. Note that for each i , $I^i M$ is in $\text{CM}(R)$ and hence we get for each i ,

$$\ell(I^i M/I^{i+1} M) \leq \ell(I^i M/xI^i M) = e_I(I^i M) = e_I(M).$$

Thus, equality must occur for each i ; in particular, it occurs for $i = 0$, which shows that M is I -Ulrich. \square

- Note that I is I -Ulrich simply says that $I^2 = xI$ i.e. I is stable, a concept heavily used in Lipman's work on Arf rings.

Without any assumption on the existence of a principal reduction (relaxing to finite residue field), the following still holds:

Theorem

Let R be a one-dimensional Cohen-Macaulay local ring. Let I be a regular ideal and $M \in \text{CM}(R)$. The following are equivalent:

- ① $IM \cong M$.
- ② M is I -Ulrich.
- ③ M is I^n -Ulrich for all $n \geq 1$.
- ④ M is I^n -Ulrich for infinitely many n .
- ⑤ M is I^n -Ulrich for some $n \geq 1$.
- ⑥ $M \in \text{CM}(B(I))$.

Corollary

Let I be a regular ideal. Then R is I -Ulrich if and only if I is principal.

Proof.

The hypothesis implies that $IR \cong R$, so I is principal. □

Corollary

Let R have a canonical ideal ω_R . Then R is ω_R -Ulrich if and only if R is Gorenstein.

Corollary

Let R have a canonical ideal ω_R . Then R has minimal multiplicity if and only if \mathfrak{m} is \mathfrak{m} -Ulrich.

Proof.

Minimal multiplicity iff $\mathfrak{m}^2 = x\mathfrak{m}$. □

The case of Birational Extensions

Remark

Note that if $M \in \text{CM}(R)$ is I -Ulrich, the proof of the main theorem showed that the action of $B(I)$ on M extends the action of R on M . (Recall, $\frac{I}{x}M \subset M$.) In other words, there is an action of $B(I)$ on M which when restricted to R yields the original action of R on M .

In particular, if $M \subseteq K$, multiplication in K gives an action of $B(I)$ on M .

Birational Extension

We say that an extension $f : R \rightarrow S$ is *birational* if $S \subset K$. Equivalently $K = \text{Frac}(S)$. Also such an f induces a bijection on the sets of minimal primes of S and R and f_P is an isomorphism at all minimal primes P of R .

Corollary

Let $R \subseteq S$ be a finite birational extension of rings. Then S is I -Ulrich if and only if $B(I) \subseteq S$.

Proof.

We saw that $\text{Ul}_I(R) = \text{CM}(B(I))$. So there is the multiplication action of $B(I)$ on S , induced from the multiplication in K , i.e. $B(I)S \subseteq S$. Finally, let's not forget that $1 \in S$! □

Corollary

Let I be a regular ideal. If \bar{R} is a finitely generated R -module, then \bar{R} and the conductor ideal $\mathfrak{c} := R :_K \bar{R}$ (largest common ideal of R and \bar{R}) are I -Ulrich.

Proof.

As $B(I) \subseteq \bar{R}$, \bar{R} is I -Ulrich. Since $\mathfrak{c} \in \text{CM}(\bar{R}) \subseteq \text{CM}(B(I))$, the conclusion follows. □

In fact, $\mathfrak{c} :_K \mathfrak{c} = \bar{R}$ and hence $B(\mathfrak{c}) = \bar{R}$: another way of proving the above.

More Properties

Proposition

Let $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$ be an exact sequence in $\text{CM}(R)$. If B is I -Ulrich then so are A, C .

Proof.

Let I have the principal reduction x . Then x is a regular element and hence induces an exact sequence

$$0 \rightarrow A/xA \rightarrow B/xB \rightarrow C/xC \rightarrow 0.$$

B is I -Ulrich if and only if I kills the middle module, but if that's the case then I kills the other two as well. \square

Corollary

Let $M \in \text{Ul}_I(R)$. For any $f \in M^$, $\text{Im}(f) \in \text{Ul}_I(R)$.*

Corollary

If ideals J, L are in $\text{Ul}_I(R)$, then $J + L, J \cap L \in \text{Ul}_I(R)$.

Proof.

The assertion follows from the short exact sequence
 $0 \rightarrow J \cap L \rightarrow J \oplus L \rightarrow J + L \rightarrow 0.$ □

Corollary (Ulrich Lattice)

The set of I -Ulrich ideals is a lattice under addition and intersection.

Largest Element in Ulrich Lattice

In fact, one can show that the largest element in this lattice is $b(I) := R :_K B(I)$.

$b(I) \in \text{CM}(B(I))$ and hence is I -Ulrich. The rest of the proof goes through by showing that

- If $M \in \text{Ul}_I(R)$, then *the trace ideal of M* also is I -Ulrich,
- Any trace ideal obtained as above is in $b(I)$.

Recall that the trace ideal of M is $\text{tr}(M) := \sum_{f \in M^*} f(M)$. By previous slide, clearly, $\text{tr}(M) \in \text{Ul}_I(R)$.

Proposition

If $M \in \text{Ul}_I(R)$, then $\text{Hom}_R(M, N) \in \text{Ul}_I(R)$ for any module $N \in \text{CM}(R)$. In particular, $M^*, M^{**} \in \text{Ul}_I(R)$.

PROOF: Note that there is an embedding

$$\text{Hom}_R(M, N) \otimes_R R/xR \rightarrow \text{Hom}_R(M/xM, N/xN)$$

and the latter is killed by I since $M \in \text{Ul}_I(R)$. This shows that $\text{Hom}_R(M, N) \otimes_R R/xR$ is killed by I and this finishes the proof. \square

A Finiteness Result

Theorem

Let $\mathfrak{c} := R :_K \bar{R}$ be the conductor and I be a regular ideal. If $\mathfrak{c} \cong I^s$ for some s , then $\text{Ul}_I(R) = \text{CM}(\bar{R})$. If furthermore R is complete and reduced, then $\text{Ul}_I(R)$ has finite type.

Proposition

Assume that I is a regular ideal. Let $S = \text{End}_R(I)$ (which is a *birational extension* of R). If M is I -Ulrich, then

$$\text{Hom}_R(M, I) \cong \text{Hom}_R(M, S).$$

Proof.

We have an exact sequence

$$0 \rightarrow L \rightarrow I \otimes M \rightarrow IM \rightarrow 0$$

where L has finite length. Thus taking $\text{Hom}_R(-, I)$ we get an isomorphism

$$\text{Hom}_R(IM, I) \cong \text{Hom}_R(I \otimes M, I).$$

The first is isomorphic to $\text{Hom}_R(M, I)$ as $IM \cong M$, and by Hom-tensor adjointness, the second is isomorphic to

$$\text{Hom}_R(M, \text{Hom}_R(I, I)) = \text{Hom}_R(M, S).$$



Corollary

Assume that R has a canonical ideal ω_R . The following are equivalent:

- ① $M \in \text{Ul}_{\omega_R}(R)$
- ② $\text{Hom}_R(M, \omega_R) \cong \text{Hom}_R(M, R)$.

PROOF: (1) \implies (2) by previous slide and the fact that $\text{End}_R(\omega_R) = R$.

Conversely, using Hom-Tensor adjointness, statement (2) is the same as

$$\text{Hom}_R(M, \omega_R) \cong \text{Hom}_R(M, \text{Hom}_R(\omega_R, \omega_R)) \cong \text{Hom}_R(\omega_R \otimes_R M, \omega_R) \cong \text{Hom}_R(\omega_R M, \omega_R).$$

Hence taking $\text{Hom}_R(-, \omega_R)$ and using duality, we get

$$M \cong \omega_R M$$

and this finishes the proof.

Connection with $\text{Ref}(R)$

Theorem

Assume that R has a canonical ideal ω_R and $M \in \text{Ul}_{\omega_R}(R)$. Then $M \in \text{Ref}(R)$.

Proof.

Previous slide shows that $M^* \cong M^\vee$, where $M^* = \text{Hom}_R(M, R)$ and $M^\vee = \text{Hom}_R(M, \omega_R)$. We saw that M^* is still in $\text{Ul}_{\omega_R}(R)$, so applying previous slide again and using duality, we have

$$M^{**} \cong M^{*\vee} \cong M^{\vee\vee} \cong M$$

as desired. □

Corollary

Suppose that R has a canonical ideal ω_R . Then for large enough n , the ideal $I = \omega_R^n$ is reflexive.

Proof.

Recall from our critical example that ω_R^n is ω_R -Ulrich for $n \gg 0$.
Now apply previous slide. □

Additional Information: In the article, we further do the following:

- use ω_R -Ulrich modules to classify reflexive birational extensions of R ;
- use I -Ulrich modules to classify reflexive Gorenstein birational extensions
- relate the trace ideal of an I -Ulrich module to the *core* of I .

Theorem

Suppose that R is a one-dimensional Cohen-Macaulay local ring with a canonical ideal ω_R . Let S be a module finite R -algebra such that S is a maximal Cohen-Macaulay module over R . The following are equivalent:

- ① $\text{CM}(S) \subset \text{Ref}(R)$.
- ② $\omega_S \in \text{Ref}(R)$.
- ③ S is ω_R -Ulrich as an R -module.

Theorem

Suppose that R is a one-dimensional Cohen-Macaulay local ring with a canonical ideal ω_R . Let S be a finite birational extension of R such that $S \in \text{Ref}(R)$. Let $I = R :_K S$ be the conductor of S in R . The following are equivalent:

- ① S is Gorenstein.
- ② I is I -Ulrich and ω_R -Ulrich. That is $I \cong I^2 \cong I\omega_R$.

This extends Goto's theorem.

Corollary

Suppose that (R, \mathfrak{m}) is a one-dimensional Cohen-Macaulay local ring with a canonical ideal ω_R . Let $S = \text{End}_R(\mathfrak{m})$. The following are equivalent:

- ① S is Gorenstein.
- ② R has minimal multiplicity and is 'almost Gorenstein'.

Theorem

Assume that the residue field of R is infinite. Let $M \in \text{Ref}(R)$. The following are equivalent.

- ① M is I -Ulrich.
- ② $\text{tr}(M) \subseteq b(I)$.
- ③ $\text{tr}(M) \subseteq (x) :_R I$ for some principal reduction x of I .
- ④ $\text{tr}(M) \subseteq (x) :_R I$ for any principal reduction x of I .
- ⑤ $\text{tr}(M) \subseteq \text{core}(I) :_R I$.

